

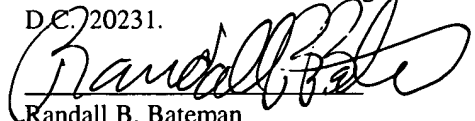
#5
FILING RECEIPT CORRECTION
DOCKET NO. 0905.ZEVX.CI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Scott D. Miles, et al.
SERIAL NO.: 09/836,850
FILED: April 16, 2001
FOR: APPARATUS AND METHOD
FOR PREVENTING FREE
FLOW IN AN INFUSION LINE
ART UNIT: 3761
EXAMINER:
DOCKET NO.: 0905.ZEVX.CI

CERTIFICATE OF MAILING
UNDER 37 C.F.R. § 1.8

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Randall B. Bateman

6/18/01
Date of Deposit

REQUEST FOR CORRECTION OF FILING RECEIPT

Director of U. S. Patents & Trademarks
Washington, D.C. 20231

Sir:

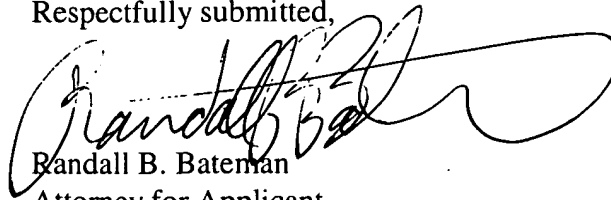
Applicant respectfully requests correction of the Filing Receipt for the above-identified matter. The Request and Certification under 35 U.S.C. 122(b)(2)(B)(i) box was marked erroneously on the Utility Patent Application Transmittal submitted for filing with the application on April 16, 2001, but according to the records of Applicant's attorney, no Request under 35 U.S.C. 122(b) was filed. Additionally, a copy of the Return Postcard is enclosed showing that the Non-Publication Request (PTO/SB/35) box was not marked when submitted. A copy of the Filing Receipt noting the correction is enclosed herein.

Director of U. S. Patents & Trademarks
Page 2

We hereby respectfully request the issuance of a corrected filing receipt providing a projected publication date.

DATED this 16 day of JUNE, 2001.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Randall B. Bateman", written over a horizontal line.

Randall B. Bateman
Attorney for Applicant
Registration No. 37,774

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UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/836,850 | 04/16/2001 | 3761 | 0.00 | 0905.ZEVX.CI | 14 | 27 | 7 |

CONFIRMATION NO. 5094

26986
MORRISS, BATEMAN, O'BRYANT & COMPAGNI, P.C.
5882 SOUTH 900 EAST, SUITE 300
SALT LAKE CITY, UT 84121

FILING RECEIPT



OC00000006168323

Date Mailed: 06/11/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Scott D. Miles, Sandy, UT;
Kent F. Beck, Layton, UT;
James A. Malmstrom, Kaysville, UT;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/569,332 05/11/2000

Foreign Applications

If Required, Foreign Filing License Granted 06/08/2001

Projected Publication Date: ~~Request for Non-Publication Acknowledged~~Non-Publication Request: ~~Yes~~ No

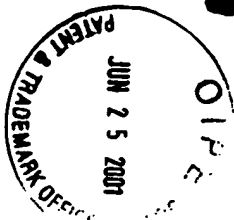
Early Publication Request: No

** SMALL ENTITY **

Title

Apparatus and method for preventing free flow in an infusion line

Preliminary Class



File No.: 0905.2EVX.C1
Serial No.: _____ Atty: RBB
Date of Mailing: April 16, 2001

Please acknowledge receipt of:

- ☒ Application (54 pages)
- ☒ Patent (PT, NP) ☐ Provisional ☐ Design
- ☒ Drawings (14 sheets; Figs _____)
 - ☐ Informal ☒ Formal
- ☒ Application Transmittal Sheet
- ☐ Fee Transmittal Sheet
- ☐ Non-Publication Request (PTO/SB/35)
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PATENT OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

| | |
|----------------------|----------------|
| Application Number | 09/836,850 |
| Filing Date | April 16, 2001 |
| First Named Inventor | Scott D. Miles |
| Group Art Unit | 3761 |
| Examiner Name | |
| Attorney Docket No. | 0905.ZEVX.CI |

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- | | | |
|---|--|--|
| <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Appeal Communication: <input type="checkbox"/> Appeal Notice <input type="checkbox"/> Appeal Brief <input type="checkbox"/> Reply Brief <input type="checkbox"/> Assignment with Cover Sheet <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Check in the amount of \$____ <input type="checkbox"/> Declaration Claiming Small Entity Status for: <input type="checkbox"/> Independent Inventor <input type="checkbox"/> Small Business Concern <input type="checkbox"/> Non-Profit Organization <input type="checkbox"/> Declaration & Power of Attorney <input type="checkbox"/> Drawings ____ sheets <input type="checkbox"/> Formal <input type="checkbox"/> Informal | <input type="checkbox"/> Extension of Time Request ____ month <input type="checkbox"/> Fee Calculation Table <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> § 1.97 (1) <input type="checkbox"/> § 1.97 (2) <input type="checkbox"/> § 1.97 (3) <input type="checkbox"/> Form 1449 <input type="checkbox"/> Copies of IDS References <input type="checkbox"/> Issue Fee Transmittal & Advance Order | <input type="checkbox"/> Maintenance Fee Transmittal ____ year <input type="checkbox"/> Missing Parts Response <input type="checkbox"/> Notification of Change of Attorney Address & Docket Number <input checked="" type="checkbox"/> Return Postcard <input type="checkbox"/> Revocation & Power of Attorney <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Other: Request for Correction of Filing Receipt |
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Remarks

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Attorney for Applicant

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 Salt Lake City, Utah 84121
 (801) 685-2302 telephone; (801) 685-2303 facsimile

Signature

[Handwritten Signature]

Date

6/18/01

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Typed or Printed Name

Randall B. Bateman

Signature

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Date

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